

For Internal Auditors

Programme on Audit of Advances Portfolio at Branches

March 18 – 20, 2019



Coordinators
Shri Siddharth Kumar Bajpai
Dr Rakesh Gupta



National Institute of Bank Management
Pune, India

Programme on Audit of Advances Portfolio at Branches

Background

The Basel Committee on banking supervision has underlined the importance of internal audit by stating that banks should have an internal audit function with sufficient authority, stature, independence, resources and access to the board of directors. Independent, competent and qualified internal auditors are vital to sound corporate governance. A strong internal control system, including an independent and effective internal audit function, is part of sound corporate governance.

Banking supervisors must be satisfied as to the effectiveness of a bank's internal audit function that policies and practices are followed and that management takes appropriate and timely corrective action in response to internal control weaknesses identified by internal auditors. An internal audit function provides vital assurance to a bank's board of directors and senior management as to the quality of the bank's internal control system. In doing so, the function helps reduce the risk of loss and reputational damage to the bank.

Objective

The captioned programme has been designed with objective to enhance competencies of internal auditors looking into advances portfolios of bank branches and as such is focussed on audit aspects having a bearing on advances in various sectors. The programme will enable internal auditors to have a better appreciation of auditable issues related to pre and post sanction processes of loans in all major segments of loan portfolios.

Content

- ☞ Importance of audit, role & responsibility of auditors
- ☞ Beyond balance sheet perspective
- ☞ Introduction to forensic audit
- ☞ Audit issues and early warning signals in :
 - (i) Corporate and SME advances;
 - (ii) Export/import credit;
 - (iii) Agriculture; and
 - (iv) Retail loans.

Pedagogy

Lectures, discussions, experience sharing.

Target Group

Internal Auditors auditing advances portfolios of Branches



Dates

March 18–20, 2019

The programme will commence at 9.00 am on March 18, 2019 and will conclude by evening on March 20, 2019. Participants are requested to reach NIBM campus by the evening of March 17, 2019

Venue

NIBM Campus, Kondhwe Khurd
Pune, India.

Accommodation

The programme is fully residential. Participants will be provided well-furnished single room AC accommodation in the Institute's hostel complex on the campus. However, they will not be permitted to bring their family members to stay on the campus. In case any Officer/Executive with physical/medical disability is being nominated, kindly inform us in advance with particulars of disability to facilitate necessary arrangements.

The Institute has facilities for outdoor and indoor games and a large walking/jogging trail for physical fitness besides a yoga centre. Participants are therefore encouraged to bring appropriate clothes/gear.

Programme Coordinators

Shri Siddharth Kumar Bajpai

Industry Expert as Assistant Professor

Dr Rakesh Gupta

Industry Expert as Assistant Professor

Programme Fee (per participant)

US \$ 1200 for foreign participant

(See fee structure on home page of the website for incentive)

	Fee	GST	Fee+GST	TDS
Member Banks :	26400	4752	31152	2640
Non-Member Banks :	31200	5616	36816	3120

The fee includes the cost of tuition, board and lodging facilities, teaching material, etc. *(Goods and Services Tax (GST) @ 18%, and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).*

Last Date for

Receiving Nominations:

March 8, 2019

Last Date for

Availing Early Bird Incentive of 5%:

March 2, 2019

(See fee structure on home page of the website)

Nominations and Enquiries

Please address your enquiries and nominations to:

Shri Siddharth Kumar Bajpai

Dr Rakesh Gupta

Programme Coordinators

National Institute of Bank Management

NIBM Post office, Kondhwe Khurd

Pune 411 048, India

Tel : 0091-20-26716000 (EPABX)

0091-20-26716394 (Direct)

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Fax : 0091-20-26834478

E-mail : s.bajpai@nibmindia.org

rakeshgupta@nibmindia.org

Web : www.nibmindia.org

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and NIBM GSTIN No. 27AAATN0040P1ZJ.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

1. Name & Address of our Bankers : **Oriental Bank of Commerce**
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd, Pune 411 048
Maharashtra, India
2. Name of the Account : **National Institute of Bank Management**
3. NIBM's Bank Account No. : **Current A/C 11281131004402**
with Oriental Bank of Commerce
4. Bank's Swift Code : **ORBCINBBFCP**
5. Oriental Bank of Commerce A/c No. : **36152559**
with Correspondent Bank
6. Preferred currency : **USD**
7. Correspondent Bank : **CITIBANK N.A.**
8. Swift code for Citi Bank : **CITIUS33**

*** The Foreign Bank Charges/ SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode. Cheques/DDs/Pay Orders will not be accepted.**

- **For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of programme, etc.**

Please see programme fee structure on home page of the website for early bird incentive, incentives for SAARC and other developing countries, mode of remittance, Pune City route map and local conveyance.