

**For
Internal Auditors**

**Online Programme on
Audit of Corporate and
SME Advances Portfolio**

October 13 – 16, 2020

(Online course duration 15 hours)

Coordinators

Prof Siddharth Kumar Bajpai

Dr Dipali Krishnakumar



**National Institute of
Bank Management
Pune, India**

A strong, effective and independent internal audit function is a part of sound corporate governance. Effective functioning of bank's internal audit system provides reassurance that policies and practices are meticulously followed and that management takes appropriate and timely corrective action in response to internal control weaknesses identified by the internal auditors.

An internal audit function provides vital assurance to a bank's board of directors, senior management and banking supervisors about the quality of bank's assets and internal controls. In doing so it helps reduce risk of loss and reputational image to the bank.

In the wake of COVID 19, asset quality of the banks' loan portfolios has been impacted adversely across the board due to a palpable downturn in the economic activities in all sectors. Given that Corporate and SME advances form a large chunk of a bank's loan book, it is crucial to keep a close watch on the asset quality in these segments which contribute significantly to the bottom line of banks.

In this context a sharper scrutiny of Corporate and SME advances is the need of the hour. To prevent further deterioration in the asset quality in these segment, It is essential that internal auditors of the banks equip themselves with a practical understanding of all available tools, methodology and best practices so as to detect early warning signals in the existing cases well in time and alert the management for corrective action.

Objective

This programme is designed to enhance awareness and upgrade skills of the internal auditors of the banks to effectively audit the Corporate & SME advances so as to identify control weaknesses and early warning signals well in time.

Content

- Auditable points in pre sanction processes
- Audit issues in post sanction monitoring
- Early Warning Signals.
- A perspective on "beyond balance sheet concepts"
- Discussion on audit angles in non fund based facilities.
- Audit checkpoints in export packing credit facility.
- Common deficiencies observed during forensic audits

Target Group

This programme is intended for bank's internal auditors entrusted with auditing Corporate & SME advances portfolios in bank branches

Modalities

This online course will have 15 hours of engagement time spread over a maximum 4 days, which includes self-paced study and live interactions of participants wherever warranted. The courseware will include the following:

- I. Reading material for self-study, Case study or exercise material and Online references
- II. Video sessions comprising of:
 - i. Pre-recorded video sessions on several topics.
 - ii. Live video sessions by faculty or guest lecturers, for discussions, clarification of doubt, wherever needed.

Participants enrolled to the programme will be provided with log-in id and password to enter into the learning management platform of the institute. Guidance will be provided for navigating through the various activities in the platform such as accessing courseware, viewing video sessions, participating in live sessions etc. The programme window will be open for maximum 4 days including date of commencement.

Live session schedule will be provided at the start of the programme.

Executives attending the programme would need a good internet access on a desktop or laptop with Google Chrome to enable access to live and recorded sessions.

Completion Certificate

A completion certificate will be given to the participant at the end of the programme. Participant has to remain logged in for at least the total number of engagement hours within the duration of the programme for being considered to have completed the same.

Nominations and Enquiries

Nominations are invited from both Institutions and Individuals from India and Abroad. Executives working in Banks/Financial Institution/Consulting Firms/Technology Firms in the Banking and Financial Services domain can apply for the programme in their individual capacity.

Please address your enquiries and nominations to:

Prof Siddharth Kumar Bajpai
Dr Dipali Krishnakumar
Programme Coordinators
National Institute of Bank Management
NIBM Post office, Kondhwe Khurd
Pune 411 048, India

Tel. : 0091-20-26716000 (EPABX)
E-mail : s.bajpai@nibmindia.org/k.dipali@nibmindia.org
Web : www.nibmindia.org

Last Date for Receiving Nominations: October 11, 2020

Programme Fee (per participant) for 15 hours programme

US \$ 600 for foreign participant

	Fee	GST	Fee+GST	TDS
Member Banks :	13200	2376	15576	1320
Non-Member Banks :	15500	2790	18290	1550
Individual Nominee :	15500	2790	18290	---

The fee includes the cost of tuition, access to reading material and recorded videos, etc. (Central Goods and Services Tax (GST) @ 18%, and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and GSTIN No. 27AAATN0040P1ZJ.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

1. Name & Address of our Bankers : Oriental Bank of Commerce
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd, Pune 411 048
Maharashtra, India
2. Name of the Account : National Institute of Bank Management
3. NIBM's Bank Account No. with Oriental Bank of Commerce : Current A/C 11281131004402
4. Bank's Swift Code : ORBCINBBFCP
5. Oriental Bank of Commerce A/c No. with Correspondent Bank : 36152559
6. Preferred currency : USD
7. Correspondent Bank : CITIBANK N.A.
8. Swift code for Citi Bank : CITIUS33

*** The Foreign Bank Charges/ SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode.
Cheques/DDs/Pay Orders will not be accepted.**

- **For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of programme, etc.**