



Programme on **Compliance Function in Banks**

July 15 – 17, 2019

Coordinators

Dr Rakesh Kumar Gupta

Dr Dipali Krishnakumar



**National Institute of
Bank Management
Pune, India**

Programme on Compliance Function in Banks

Background

The importance of compliance in banks is increasing. Both Banks as well as the regulators emphasize the need for compliance. New regulations are indeed creating new compliances, challenges and risk. Banks have to comply with provisions of various acts as applicable to them, besides the Banking Regulation Act, banks also have to understand and comply with various legislation and guidelines relevant to them. Certain compliance also arises on account of listing agreement and insurance activities. Therefore, banks have to develop business lines and take decisions keeping in mind the compliance. This will help them to reduce risk. Risk faced by banks are on the rise and how well these risks are managed and understood will be key driver behind profitability and sustenance of the banks in long run.

Objectives

In order to impart knowledge and skill for compliance function, the programme has been designed to facilitate Compliance Officers and Functional Managers associated with compliance function to acquire skill set for efficient working.

Programme Coverage

- ⇒ Compliance risk and significance of compliance
- ⇒ Compliance and risk policies

- ⇒ Role and responsibilities of compliance officer
- ⇒ Regulatory Compliance, Disclosure Norms
- ⇒ Importance of compliance culture in organisation
- ⇒ Statutory & Regulatory Restrictions
- ⇒ Laws/Acts/Codes/provisions relevant to banking
- ⇒ FEMA restrictions
- ⇒ Adherence to standard codes, fair practices codes prescribed by BCSBA, IBA, FEDAI
- ⇒ Regulatory guidelines on customer service

Methodology

The programme will be highly participative and will be based on concept, sessions, experience sharing and interaction with Regulators & Chief Compliance Officers

Target Group

Compliance Officers, Officers dealing with regulating compliance banking.

Dates

July 15 – 17, 2019

The programme will commence on July 15, 2019 at 9.00 am and conclude by evening on July 17, 2019. Participants are requested to reach NIBM Campus by the evening of July 14, 2019.

Venue

NIBM Campus, Kondhwe Khurd
Pune, India.

Accommodation

The programme is fully residential. Participants will be provided well-furnished single room AC accommodation in the Institute's hostel complex on the campus. However, they will not be permitted to bring their family members to stay on the campus. In case any Officer/Executive with physical/medical disability is being nominated, kindly inform us in advance with particulars of disability to facilitate necessary arrangements.

The Institute has facilities for outdoor and indoor games and a large walking/jogging trail for physical fitness besides a yoga centre. Participants are therefore encouraged to bring appropriate clothes/gear.

Programme Coordinators

Dr Rakesh Gupta

Industry Expert as Assistant Professor

Dr Dipali Krishnakumar

Assistant Professor

(Finance Area Group)

Programme Fee (per participant)

US \$ 1200 for foreign participant

(See fee structure on home page of the website for incentive)

	Fee	GST	Fee+ GST	TDS
Member Banks :	26400	4752	31152	2640
Non-Member Banks :	31200	5616	36816	3120

The fee includes the cost of tuition, board and lodging facilities, teaching material, etc. (Goods and Services Tax (GST) @ 18%, and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).

Last Date for

Receiving Nominations:

July 5, 2019

Last Date for

Availing Early Bird Incentive of 5%:

June 29, 2019

(See fee structure on home page of the website)

Nominations and Enquiries

Please address your enquiries and nominations to:

Dr Rakesh Gupta

Dr Dipali Krishnakumar

Programme Coordinators

National Institute of Bank Management

NIBM Post Office, Kondhwe Khurd

Pune 411 048, India.

Tel. : 0091-20-26716000 (EPABX)

0091-20-26716271 (Direct)

0091-20-26716315 (Direct)

Fax : 0091-20-26834478

E-mail : rakeshgupta@nibmindia.org

k.dipali@nibmindia.org

Website : www.nibmindia.org

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and NIBM GSTIN No. 27AAATN0040P1ZJ.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

1. Name & Address of our Bankers : **Oriental Bank of Commerce**
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd, Pune 411 048
Maharashtra, India
2. Name of the Account : **National Institute of Bank Management**
3. NIBM's Bank Account No. : **Current A/C 11281131004402**
with Oriental Bank of Commerce
4. Bank's Swift Code : **ORBCINBBFCP**
5. Oriental Bank of Commerce A/c No. : **36152559**
with Correspondent Bank
6. Preferred currency : **USD**
7. Correspondent Bank : **CITIBANK N.A.**
8. Swift code for Citi Bank : **CITIUS33**

*** The Foreign Bank Charges/ SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode.
Cheques/DDs/Pay Orders will not be accepted.**

- **For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of programme, etc.**

Please see programme fee structure on home page of the website for early bird incentive, incentives for SAARC and other developing countries, mode of remittance, Pune City route map and local conveyance.