

December 8 – 12, 2020
(Online course duration 15 hours)



**Online
Training
Programme on
Risk Based
Internal Audit**

Coordinators
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Dr Richa Verma Bajaj



**National Institute of
Bank Management
Pune, India**

The internal audit team plays a pivotal role in mitigating various risks and identifying potential risk areas of an organization. Presently, the third line of defense faces new challenges posed by the Covid 19 Pandemic.

Internal Auditors need to be equipped with the requisite knowledge to carry out their audit function effectively while also being flexible to reinvent ways of carrying out their role efficiently while facing new challenges posed during the Pandemic. Existing methods of working and Audit Plans need to be reassessed to examine their effectiveness in the present context.

Objective

This program is designed as a practical course to equip Internal Auditors with a systematic understanding of critical banking Operations. The programme provides essential skills for designing the Risk Based Internal Audit Approach and conducting an effective Internal Audit.

Content

- Framework of Risk Based Approach to Internal Audit
- Overview of Basel Accord (Focus: Credit Risk and Operational Risk)
- Audit of Advances (pre and post sanction process)
- NPA Classification and Provisioning Norms
- Audit of Deposits including issues in AML/KYC
- Export and Import Critical Audit Aspects
- Critical Audit Issues in Non-fund Based Facilities
- Audit of Treasury Operations
- Use of Core Banking System for Internal Audit
- Case Study on RBIA for rating of branches
- Internal Audit Considerations in the Face of Challenges from the Pandemic

Faculty

Besides NIBM Faculty, subject matter experts in various functional areas would be invited as guest faculty.

Target Group

Executives managing and working in Internal Audit Departments of Banks and Financial Institutions

Modalities

This online course will have 15 hours of engagement time spread over a maximum 5 days, which includes several self-paced study and live interactions of participants. The courseware will include the following:

- I. Reading material for self-study, Case study or exercise material
- II. Video sessions comprising of:
 - i. Pre-recorded video sessions.
 - ii. Live video sessions by faculty or guest lecturers, for discussions, clarification of doubt, etc.

Participants enrolled to the programme will be provided with log-in id and password to enter into the learning management platform of the institute. Guidance will be provided for navigating through the various activities in the platform such as accessing courseware, viewing video sessions, participating in live sessions, taking quizzes, etc. The programme window will be open for maximum 5 days including date of commencement.

Live session schedule will be provided at the start of the programme.

Executives attending the programme would need internet access on a desktop or laptop with Google Chrome to enable access to live and recorded sessions.

Completion Certificate

A completion certificate will be given to the participant at the end of the programme. Participant has to remain logged in for at least the total number of engagement hours within the duration of the programme for being considered to have completed the same.

Nominations and Enquiries

Nominations are invited from both Institutions and Individuals from India and Abroad. Executives working in Banks/Financial Institution/Consulting Firms/Technology Firms in the Banking and Financial Services domain can apply for the programme in their individual capacity.

Please address your enquiries and nominations to:

Dr Dipali Krishnakumar
Dr Richa Verma Bajaj
Programme Coordinators
National Institute of Bank Management
NIBM Post office, Kondhwe Khurd
Pune 411 048, India

Tel. : 0091-20-26716000 (EPABX)
E-mail : k.dipali@nibmindia.org, richa@nibmindia.org
Web : www.nibmindia.org

Last Date for Receiving Nominations: December 6, 2020

Programme Fee (per participant) for 15 hours programme

US \$ 600 for foreign participant

	Fee	GST	Fee+GST	TDS
Member Banks :	13200	2376	15576	1320
Non-Member Banks :	15500	2790	18290	1550
Individual Nominee :	15500	2790	18290	---

The fee includes the cost of tuition, access to reading material and recorded videos, etc. (Central Goods and Services Tax (GST) @ 18%, and TDS @ 10%. Kindly send the TDS Certificate on priority to NIBM).

Mode of Payment for Indian Participants

- The fee may preferably be transferred by RTGS/NEFT/ECS to our A/c No. 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and GSTIN No. 27AAATN0040P1ZJ.
- National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd, Pune 411 048, INDIA.

Mode of Payment for Foreign Participants

Mode of Remittance: SWIFT*

1. Name & Address of our Bankers : Oriental Bank of Commerce
C-2, Shop No. 4-5, Bramha Estate
Kondhwe Khurd, Pune 411 048
Maharashtra, India
2. Name of the Account : National Institute of Bank Management
3. NIBM's Bank Account No. with Oriental Bank of Commerce : Current A/C 11281131004402
4. Bank's Swift Code : ORBCINBBFCP
5. Oriental Bank of Commerce A/c No. with Correspondent Bank : 36152559
6. Preferred currency : USD
7. Correspondent Bank : CITIBANK N.A.
8. Swift code for Citi Bank : CITIUS33

*** The Foreign Bank Charges/ SWIFT charges/Commission is to be borne by the remitter. The fees mentioned in the invoice/brochure is to be paid to NIBM, net of all bank charges.**

***Payments will be accepted only through electronic mode.
Cheques/DDs/Pay Orders will not be accepted.**

- **For all electronic remittances, kindly send a confirmatory e-mail at: accounts@nibmindia.org giving details of the remitter and participant, name and dates of programme, etc.**